

JKN



AUG 05 2004

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/802,251 | 03/17/2004 | Joe Hasiewicz | 82548 |

22242
 FITCH EVEN TABIN AND FLANNERY
 120 SOUTH LA SALLE STREET
 SUITE 1600
 CHICAGO, IL 60603-3406

CONFIRMATION NO. 5145

FORMALITIES LETTER



OC000000012860553

Date Mailed: 06/03/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/05/2004 HLE333 00000062 061135 10802251

FILED UNDER 37 CFR 1.53(b)

01 FC:1051 130.00 DA

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late-filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

CLG

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln No.: 10/802,251

Filed: March 17, 2004

Applicant(s): Joe HASIEWICZ et al.

Title: EQUIPMENT HEALTH
MONITORING ARCHITECTURE
FOR FLEETS OF ASSETS

Art Unit: Not yet assigned

Examiner: Not yet assigned

Attorney Docket No.: 82548

Customer No.: 22242

Confirmation No. 5145

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date.

8/2/04
Date

Mark W. Hetzler
Mark W. Hetzler
Registration No. 38,183
Attorney for Applicant(s)

Mail Stop MISSING PARTS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts dated June 3, 2004,

- ☒ A copy of the Notice to File Missing Parts is enclosed.
- ☒ An executed Declaration for Patent Application, including Power of Attorney, is enclosed.
- ☐ ___ sheet(s) of drawings are enclosed. ☐ Formal ☐ Informal
- ☒ An Assignment of the invention to SMARTSIGNAL CORPORATION, and Recordation Form Cover Sheet - Patents Only - Form PTO-1595, are enclosed.
- ☐ A check in the amount of \$_____ to cover the fee for recording the assignment is enclosed.
- ☒ The Recordation Form Cover Sheet includes authorization to charge our Deposit Account for recording the assignment.

Application No. 10/802,251
Response dated August 2, 2004
Notice to File Missing Parts dated June 3, 2004

☒ Fee Calculation:

Fee Calculation For Claims As Filed

| | | |
|--|-----------|-------|
| <input type="checkbox"/> Provisional Application Basic Fee | \$ 160.00 | _____ |
| Surcharge under 37 C.F.R. §1.16(1) | \$ 50.00 | _____ |

| | | |
|---|---|-----------|
| <input checked="" type="checkbox"/> Non-Provisional Utility Application Basic Fee | \$ 770.00 | |
| <i>Filing Fees previously paid</i> | | |
| Independent Claims | _____ - <u>3</u> = <u>0</u> x \$ 86.00 = | \$ 0.00 |
| Total Claims | _____ - <u>20</u> = <u>0</u> x \$ 18.00 = | \$ 0.00 |
| Fee for Multiply Dependent Claims | \$ 290.00 | _____ |
| Surcharge under 37 C.F.R. §1.16(e) | \$ 130.00 | \$ 130.00 |

| | | |
|---|-----------|-------|
| <input type="checkbox"/> Design Application Basic Fee | \$ 340.00 | _____ |
| Surcharge under 37 C.F.R. §1.16(e) | \$ 130.00 | _____ |

Total Filing and/or Surcharge Fee \$ 130.00

☐ Applicant(s) assert entitlement to Small Entity Status (37 C.F.R. § 1.27), reducing the Filing/Surcharge Fee by half to: \$ _____

☐ A check in the amount of \$_____ to cover the Filing and/or Surcharge Fee is enclosed.

☒ Charge \$ 130.00 to Deposit Account No. 06-1135.

☒ The Director is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Director is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

August 2, 2004
Date

Mark W. Hetzler
Mark W. Hetzler
Registration No. 38,183

FITCH, EVEN, TABIN & FLANNERY
120 South LaSalle Street, Suite 1600
Chicago, Illinois 60603-3406
Telephone: (312) 577-7000
Facsimile: (312) 577-7007